

012004

17364 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMISSION

Our Docket No.:  
**A-68881-1/ENB (468878-4)**

Date: **January 20, 2004**

First Named Inventor: **Richard B. FOX**

Title: **Guidance Vehicle and Method For Use With Dual Mode Transportation System**

Express Mail No.: **EV 182 097 791 US**

## CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: **Mail Stop Patent Application, FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on January 20, 2004**

Signed:

*Edward N. Bachand*  
Edward N. Bachand

## ADDRESS TO:

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

031431 U.S. PTO  
10/761627  
012004

## APPLICATION ELEMENTS

- |   |  |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Calculation Sheet<br>(Submit an original and a duplicate for fee processing)   | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)            |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status   | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(If applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification Total Pages: <b>26</b>   | a. <input type="checkbox"/> Computer Readable Form (CRF)   |
| <input checked="" type="checkbox"/> Descriptive title of the invention  | b. <input type="checkbox"/> Specification Sequence Listing on:   |
| <input checked="" type="checkbox"/> Cross References to Related Applications  | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |
| <input type="checkbox"/> Statement Regarding Fed. Sponsored R&D   | ii. <input type="checkbox"/> Paper   |
| <input type="checkbox"/> Reference to Microfiche Appendix   | c. <input type="checkbox"/> Statement verifying identify of above copies                                       |
| <input checked="" type="checkbox"/> Background of the Invention   |  |
| <input checked="" type="checkbox"/> Brief Summary of the Invention  |  |
| <input checked="" type="checkbox"/> Brief Description of the Drawings   |  |
| <input checked="" type="checkbox"/> Detailed Description  |  |
| <input checked="" type="checkbox"/> Claims  |  |
| <input checked="" type="checkbox"/> Abstract of the Disclosure  |  |
| 4. <input checked="" type="checkbox"/> Drawings (35 U.S.C. 113) Total Sheets: <b>15</b>   | 9. <input checked="" type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)                           |
| 5. <input type="checkbox"/> Oath or Declaration Total Pages:  | 10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))                                     |
| a. <input type="checkbox"/> Newly Executed (original or copy)   | 11. <input type="checkbox"/> 37 C.F.R. 3.73(b) Submission  |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)   | 12. <input type="checkbox"/> Revocation and Power of Attorney  |
| 1. <input type="checkbox"/> <u>DELECTION OF INVENTORS</u><br>Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b)) | 13. <input type="checkbox"/> English Translation Document (if applicable)                                      |
|   | 14. <input type="checkbox"/> Information Disclosure Statement<br>(with Copies of Citations as necessary)       |
| 6. <input type="checkbox"/> Application Data Sheet (37 C.F.R. 1.76)   | 15. <input type="checkbox"/> Preliminary Amendment Total Pages:  |
|   | 16. <input checked="" type="checkbox"/> Return Receipt Postcard<br>(Should be specifically itemized)           |
|   | 17. <input type="checkbox"/> Certified Copy of Priority document(s)<br>(if foreign priority is claimed)        |
|   | 18. <input type="checkbox"/> Other:  |


## ACCOMPANYING APPLICATION PARTS

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☒ Continuation-in-part (CIP) of prior Application Nos.: **09/865,088 filed May 24, 2001**  
now U.S. Patent No. **6,679,181**

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

## CORRESPONDENCE ADDRESS

ATTY NAME	Edward N. Bachand Reg. No. 37,085	SIGNATURE: 	DATE: January 20, 2004
ADDRESS	DORSEY & WHITNEY LLP Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187		Customer Number: 32940
TELEPHONE	(650) 494-8700		
FAX	(650) 494-8771		

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17364 U.S.  
012004APPLICATION  
FEE TRANSMITTAL SHEET

## Complete if Known

Application No.	To be assigned
Filing Date	Herewith
First Named Inventor	Richard B. FOX
Group Art Unit	To be assigned
Examiner Name	To be assigned
Atty. Docket Number and Title:	A-68881-1/ENB Guided Vehicle and Method for Use With Dual Mode Transportation System

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 50-2319

Deposit Account Name: DORSEY &amp; WHITNEY LLP

☐ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17☒ Applicant claims small entity status (see 37 C.F.R. 1.27)2. ☒ Check Enclosed

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee
101	770	201	385	<input checked="" type="checkbox"/> Utility Filing Fee
106	340	206	170	<input type="checkbox"/> Design Filing Fee
108	770	208	385	<input type="checkbox"/> Reissue Filing Fee
Subtotal (1)				\$385

## 2. EXTRA CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below*	Fee Paid
Total	20	- 20	= 0	x 9	=
Indep.	3	- 3	= 0	x 43	=
Multiple Dependent Claims				x	=

Subtotal (2) \$-0-

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	86	202	43	Independent claims in excess of 3
104	290	204	145	Multiple dependent Claim
109	86	209	43	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

## FEE CALCULATION (Continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
105	130	205	65	Surcharge - Late nonprovisional filing fee or oath	
126	180	126	180	Submission of IDS	
581	40	81	40	Recording each patent assignment per property (times number of properties)	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	280	980	Extension for reply within fifth month	
146	740	246	370	Submission After Final 1.129	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
142	1,280	242	640	Utility/Reissue Issue Fee (including advance copies)	
143	460	243	230	Design Issue Fee (inc. advance copies)	
179	740	279	370	Request for Continued Examination (RCE)	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for re-publication	
140	110	240	55	Petition to Revive - unavoidable	
141	1,280	241	640	Petition to Revive - unintentional	
OTHER FEE (specify)					-0-
Subtotal (3)					
Total Amount of Payment:					\$385

Submitted by:

Name: Edward N. Bachand

Reg. No.: 37,085

Telephone: (650) 494-8700

Signature: 

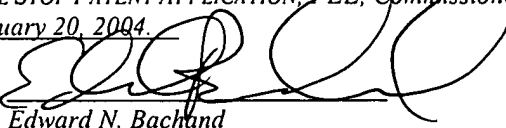
Date: January 20, 2004

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*CERTIFICATE OF MAIL (37 CFR 1.8(a))*

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Signed:

  
Edward N. Bachand

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*In re* application of:

RICHARD B. FOX

Serial No: to be assigned

Filed: Herewith

Title: Guided Vehicle and Method for Use With  
Dual Mode Transportation System

Art Unit No.: to be assigned

Examiner: to be assigned

Date: January 20, 2004

REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 35 U.S.C. 122(b)(2)(B)(i), applicant hereby certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

DORSEY & WHITNEY LLP

By 

Edward N. Bachand  
Reg. No. 37,085

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